# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Ty  | pe Response   | s)   |   |  |            |   |        |  |  |  |                          |   |  |  |  |  |               |            |
|---|---|--|---|--|------------|---|--------|--|--|--|--------------------------|---|--|--|--|--|---------------|------------|
| Name and Address of Reporting Person *  Kaplan Michael L.               |   |  |   | 2. Issuer Name and Ticker or Trading Symbol<br>RED ROBIN GOURMET BURGERS INC<br>[RRGB] |            |   |        |  |  |  |                          |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X Officer (give title below)  CHIEF LEGAL OFFICER          |  |  |  |               |            |
| (Last) (First) (Middle)<br>6312 S FIDDLER'S GREEN CIRCLE, SUITE<br>200N |   |  |   | 3. Date of Earliest Transaction (Month/Day/Year) 02/18/2022                            |            |   |        |  |  |  |                          |   |  | CHE                                    | LEGAL O  | TTICER   |               |            |
| (Street)  |   |  |   | 4. If Amendment, Date Original Filed(Month/Day/Year)                                   |            |   |        |  |  |  |                          | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person  lired, Disposed of, or Beneficially Owned |  |  |  |  |               |            |
| GREENWOOD VILLAGE, CO 80111 (City) (State) (Zip)                        |   |  |   | Table I - Non-Derivative Securities Acqui  |            |   |        |  |  |  |                          |   |  |  |  |  |               |            |
| 1.Title of Security (Instr. 3)  |   | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date<br>any<br>(Month/Day/Y |  | ĺ          | (Instr. 8)  |        |  | (A) or Disposed o<br>(Instr. 3, 4 and 5) |  | ed of                    | f (D)   | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)  6. Ownership Form: Direct (D) or Indirect (I) |  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |               |            |
| Common Stock  |   | 02/18/2022                                 |   |  |            | ode<br>F  | V      | 94 (1)   |  | \$   | 7.1                      | 22,498 (2)  |  |  | (Instr. 4) D   |  |               |            |
| Reminder:   | Report on a s   | separate line fo                           | or each class of secur<br>Table II - 1              | Deriva   | ntive Sec  | uriti   | ies Ac | equire   | Pers<br>cont<br>the f                    | ons when the constant of the c | ho respondin this splays | forn<br>a c   | n are<br>urre<br>ficial  | not requesting ntly valid              | ction of inf<br>uired to res<br>OMB conf   | spond unle   | ss            | 1474 (9-02 |
|   | I_  |  |   |  | uts, calls |   |        | ts, op   |  |  |                          | curi  |  |  |  |  | 2 4 2         | 44.35      |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                     | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Ye.   | Execution Da<br>(Year) any                          | te, if Transaction Code Year) (Instr. 8)   |            | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |        | 6. Date Exercisable<br>and Expiration Date<br>(Month/Day/Year) |  |  | ;                        | 7. Title and Amount of Underlying Securities (Instr. 3 ar 4)  | ount of<br>derlying<br>urities<br>tr. 3 and  | Derivative<br>Security<br>(Instr. 5)   | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Owner<br>Form of<br>Derivat<br>Securit<br>Direct<br>or India | Owner (Instr. |            |
|   |   |  |   |  | Code       | V   | (A)    | (D)  | Date<br>Exer                             | e<br>rcisable  | Expirat<br>Date          | tion  | Title  | Amount<br>or<br>Number<br>of<br>Shares |  |  |               |            |

### **Reporting Owners**

|   | Relationships |              |                     |       |  |  |  |  |
|---|---------------|--------------|---------------------|-------|--|--|--|--|
| Reporting Owner Name / Address  | Director      | 10%<br>Owner | Officer             | Other |  |  |  |  |
| Kaplan Michael L.<br>6312 S FIDDLER'S GREEN CIRCLE<br>SUITE 200N<br>GREENWOOD VILLAGE, CO 80111 |               |              | CHIEF LEGAL OFFICER |       |  |  |  |  |

## Signatures

| /s/ Michael L. Kaplan           | 02/23/2022 |
|---------------------------------|------------|
| **Signature of Reporting Person | Date       |

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On March 19, 2019, the reporting person received a grant of performance share units (PSUs) representing 7,486 shares of the issuer's common stock (at target) under the issuer's 2017 Performance Incentive Plan, as amended. The PSUs cliff vest at the end of a three year performance cycle with the number of PSUs earned determined based on achievement of performance objectives approved by the issuer's Compensation Committee for each year in the performance cycle. On February 5, 2020, the issuer's
- (1) Compensation Committee determined that the objectives for the first tranche were achieved, resulting in 335 shares being earned by the reporting person, as previously reported. No shares were earned with respect to the second and third tranches. In connection with the vesting of and issuance of such 335 shares, the reporting person sold 94 of such shares to the issuer to satisfy tax withholding obligations. The sale was approved by the issuer's Compensation Committee in accordance with Rule 16b-3(d)(1) of the Exchange Act (the "Act"), and as such, the sale is exempt from Section 16(b) of the Act pursuant to Rule 16b-3(e) promulgated thereunder.
- (2) Includes 12,354 shares subject to vesting and forfeiture restrictions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.