SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Hart Gerard Johan</u>					2. Issuer Name and Ticker or Trading Symbol <u>RED ROBIN GOURMET BURGERS INC</u> [RRGB]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) 10000 E GEDI	(First) DES AVE				3. Date of Earliest Transaction (Month/Day/Year) 09/13/2022							- x	Officer (g below) PR		Other (specify below) IT AND CEO			
STE 500				4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street) ENGLEWOOD CO 80112												Form filed by More than One Reporting Person				g Person		
(City)	(State)	(Zi	p)															
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Date				2. Transaction Date (Month/Day/Ye	Execution				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount		(A) or (D)	Price	 Transaction(s) (Instr. 3 and 4) 				(Instr. 4)	
Common Stock 09/1					2		Α		123,762 ⁽¹⁾ A		\$ <mark>0</mark>	144,3	363 ⁽²⁾	D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	ivative Conversion Date Execution Date,		Code (In		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration Date Securitie (Month/Day/Year) Derivation			tle and Ar urities Une vative Sec tr. 3 and 4	derlying curity	8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Benorted		e Ow s For lly Dire or l	nership m: ect (D) ndirect Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

Explanation of Responses:

1. Represents a one-time award grant of time-based restricted stock units subject to all the terms and conditions of awards granted under the issuer's 2017 Performance Incentive Plan, as amended, as if it were made under such plan. Each time-based stock unit represents the contingent right to receive, upon vesting of the unit, one share of the issuer's common stock. One third of the units are scheduled to vest on each of the first, second, and third anniversaries of the date of grant. The closing price on date of grant, September 13, 2022 was \$7.60.

(D)

Date

Exercisable

Expiration

Title

Date

2. Includes 133,394 shares subject to vesting and forfeiture restrictions.

/s/ Michael L. Kaplan, Attorneyin-Fact 09/15/2022

Transaction(s)

(Instr. 4)

** Signature of Reporting Person Date

Amount

Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V (A)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.